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                                                   HOUSE FILE 2669
                                     AN ACT
  4 RELATING TO THE COLLECTION AND RECYCLING OF MERCURY=ADDED
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         THERMOSTATS.
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   7 BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF IOWA:
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         Section 1. <u>NEW SECTION</u>. 455D.31 MERCURY == THERMOSTATS.
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         1. As used in this section, unless the context otherwise
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  11 requires:
         a. "Manufacturer" means any person, firm, association,
1 13 partnership, corporation, governmental entity, organization,
1 14 combination, or joint venture that owns or owned the brand
1 15 name of the thermostat.
         b. "Mercury=added thermostat" means a product or device
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1 17 that uses a mercury switch to sense and control room
1 18 temperature through communication with heating, ventilating,
  19 or air=conditioning equipment. "Mercury=added thermostat'
1 20 includes thermostats used to sense and control room
1 21 temperature in residential, commercial, industrial, and other
1 22 buildings but does not include thermostats used to sense and 1 23 control temperature as part of a manufacturing process. 1 24 c. "Thermostat retailer" means a person who sells
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  25 thermostats of any kind directly to homeowners or other
  26 nonprofessionals through any selling or distribution
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1 27 mechanism, including but not limited to sales using the
  28 internet or catalogues. A thermostat retailer may also be a 29 thermostat wholesaler if it meets the definition of thermostat
1 30 wholesaler.
       d. "Thermostat wholesaler" means a person who is engaged
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  32 in the distribution and wholesale selling of large quantities
  33 of heating, ventilation, and air=conditioning components,
  34 including thermostats, to contractors who install heating,
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  35 ventilation, and air=conditioning components, including
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   1 thermostats.
         2. Beginning July 1, 2009, a person shall not sell, offer
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   3 for sale, or install a mercury=added thermostat in this state.
   3. Beginning April 1, 2009, except as otherwise provided, 5 a person who generates a discarded mercury=added thermostat
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   6 shall manage the mercury=added thermostat as a hazardous waste
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   7 or universal hazardous waste, according to all applicable
   8 state and federal regulations. A contractor who replaces or
   9 removes mercury=added thermostats shall assure that any
  10 discarded mercury=added thermostat is subject to proper
  11 separation and management as hazardous waste or universal
2 12 hazardous waste. A contractor who replaces a mercury=added
2 13 thermostat in a residence shall deliver the mercury=added
  14 thermostat to an appropriate collection location for
2 15 recycling.
         4. Each thermostat manufacturer that has offered for final
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  17 sale, sold at final sale, or distributed mercury=added
2 18 thermostats in the state shall individually, or in conjunction
2 19 with other thermostat manufacturers, do all of the following:
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         a. Not later than October 1, 2008, submit a plan to the
  21 department for approval describing a collection program for
2 22 mercury=added thermostats. The program contained in the plan
2 23 shall ensure that all the following take place:
  24 (1) That an education and outreach program is developed.
25 The program shall be directed toward thermostat wholesalers,
  26 thermostat retailers, contractors, and homeowners and ensure a
  27 maximum rate of collection of mercury=added thermostats.
28 There shall not be a cost to thermostat wholesalers or
2 29 thermostat retailers for education and outreach materials.
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         (2) That handling and recycling of mercury=added
  31 thermostats are accomplished in a manner that is consistent
  32 with the provisions of the universal waste rules.
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         (3) That containers for mercury=added thermostat
  34 collection are provided to all thermostat wholesalers. The 35 cost to thermostat wholesalers for such containers shall be
   1 limited to an initial, reasonable, one=time fee per container
   2 as specified in the plan.
         (4) That collection points will be established to serve
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4 homeowners. The collection points shall include but are not 5 limited to regional collection centers permitted under 567 IAC 6 123. Collection points may include but are not limited to 7 thermostat retailers.

- (5) That collection systems are provided to all collection 9 points. Collection systems may include individual product 3 10 mail back or multiple collection containers. The costs of 11 collection shall not be passed on to a collection point. 3 12 costs to a collection point shall be limited to an initial, 3 13 reasonable, one=time fee per container as specified in the
- Not later than April 1, 2009, implement a mercury=added 3 16 thermostat collection plan approved by the department.
- 3 17 c. Beginning in 2010, submit an annual report to the 3 18 department by April 1 of each year that includes, at a
- 3 19 minimum, all of the following: 3 20 (1) The number of mercury=added thermostats collected and 21 recycled by that manufacturer during the previous calendar 3 22 year.
- The estimated total amount of mercury contained in the (2) 24 thermostat components collected by that manufacturer during 3 25 the previous calendar year.
  - (3) A list of all participating thermostat wholesalers and 27 all collection points for homeowners.
- (4) An evaluation of the effectiveness of the 3 29 manufacturer's collection program.

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- (5) An accounting of the administrative costs incurred in 31 the course of administering the collection and recycling 3 32 program.
  - 5. a. By April 1, 2009, a thermostat wholesaler shall do 34 both of the following: 35 (1) Act as a collection site for mercury=added
    - thermostats.
  - (2) Promote and utilize the collection containers provided 3 by thermostat manufacturers to facilitate a contractor 4 collection program.
  - b. By April 1, 2009, a thermostat retailer shall 6 participate in an education and outreach program to educate consumers on the collection program for mercury=added 8 thermostats.
- 4 9 6. Beginning April 1, 2009, all of the following sales 4 10 prohibitions shall apply to thermostat manufacturers, 4 11 thermostat wholesalers, and thermostat retailers:
- a. A thermostat manufacturer not in compliance with this 4 13 section is prohibited from offering any thermostat for final 4 14 sale in the state. A thermostat manufacturer not in 4 15 compliance with this section shall provide the necessary 16 support to thermostat wholesalers and thermostat retailers to 4 17 ensure the manufacturer's thermostats are not offered for 4 18 final sale.
  - A thermostat wholesaler or thermostat retailer shall b. 20 not offer for final sale any thermostat of a manufacturer that is not in compliance with this section.
    - 7. The department shall do all of the following:
- Review and grant approval of, deny, or approve with 4 24 modifications a manufacturer plan required under this section. 4 25 The department shall not approve a plan unless all elements of 26 subsection 4, paragraph "a", are adequately addressed and the 27 program outlined in the plan will assure a maximum rate of 4 28 collection of mercury=added thermostats. In reviewing a plan 29 the department may consider consistency of the plan with 30 collection requirements in other states and consider 4 31 consistency between thermostat manufacturer collection 32 programs. In reviewing plans, the agency shall ensure that 33 education and outreach programs are uniform and consistent to 34 ensure ease of implementation by thermostat wholesalers and 35 thermostat retailers.
  - b. The department shall establish a process for public 2 review and comment on all plans submitted by thermostat 3 manufacturers prior to plan approval. The department shall 4 consult with interested persons, including representatives of 5 thermostat manufacturers, environmental groups, thermostat 6 wholesalers, thermostat retailers, contractors, and local 7 government.
- 8 c. By January 15, 2010, and annually thereafter, the 9 department shall submit a written report to the general 10 assembly regarding the collection and recycling of 11 mercury=added thermostats in the state. The first report 12 submitted shall include recommendations for any statutory 13 changes concerning the collection and recycling of 5 14 mercury=added thermostats. Subsequent reports shall include

5 15 an evaluation of the effectiveness of the mercury=added 5 16 thermostat collection and recycling programs, information on 5 17 actual collection rates, and recommendations for any statutory 18 changes concerning the collection and recycling of 5 19 mercury=added thermostats. 5 20 8. The goal of the collection and recycling efforts under 21 this section is to collect and recycle as many mercury=added 22 thermostats as reasonably practicable. By January 1, 2009, 5 23 the department shall determine collection goals for the 24 program in consultation with interested persons, including the 25 national electrical manufacturers association and 26 representatives of thermostat manufacturers, thermostat 5 27 wholesalers, thermostat retailers, contractors, environmental 28 groups, and local government. If collection efforts fail to 29 meet the collection goals described in this subsection, the 30 department shall, in consultation with the national electrical 31 manufacturers association and other interested persons, 5 32 consider modifications to collection programs in an attempt to 33 improve collection rates in accordance with these goals. 5 5 5 34 35 6 1 2 PATRICK J. MURPHY 6 3 Speaker of the House 6 4 6 5 6 6 6 JOHN P. KIBBIE 6 8 President of the Senate 6 9 I hereby certify that this bill originated in the House and is known as House File 2669, Eighty=second General Assembly. 6 6 11 6 12 6 13 6 14 6 15 MARK BRANDSGARD 6 16 Chief Clerk of the House 17 Approved \_\_\_\_\_\_, 2008 6 6 18 6 19 6 20 6 21 CHESTER J. CULVER

6 22 Governor